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CERTIFICATE OF MAILING  
37 C.F.R. § 1.8

I hereby certify that this correspondence is being deposited with the U.S. Postal Service with sufficient postage as First Class Mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231, on the date below:

April 30, 2002  
Date

  
Shelley P.M. Fussey

# 4

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:  
Arun K. Roy, Yan Lavrovsky, Rakesh K.  
Tyagi, Chung S. Song, Bandana Chatterjee  
and Shuo Chen

Serial No.: 10/009,420

Filed: December 4, 2001

For: Estrogen Receptor Site-Specific Ribozymes  
and Uses Thereof for Estrogen Dependent  
Tumors

Group Art Unit: Unknown

Examiner: Unknown

Atty. Dkt. No.: 4003.002300

**RESPONSE TO NOTIFICATION OF MISSING REQUIREMENTS  
UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/  
ELECTED OFFICE (DO/EO/US) MAILED MARCH 08, 2002**

Commissioner for Patents  
BOX PCT  
United States Patent and Trademark Office  
Washington, D.C. 20231

Sir:

In response to the Notification of Missing Requirements Under 35 U.S.C. 371 in the  
United States Designated/Elected Office (DO/EO/US) mailed March 08, 2002, there are enclosed  
herewith:

- (a) A Declaration executed by Arun K. Roy, Chung S. Song, Bandana Chatterjee,  
Shuo Chen, Yan Lavrovsky and Rakesh K. Tyagi;

- (b) A Power of Attorney executed on behalf of Board of Regents, The University of Texas System;
- (c) Amendment, and Response to Notice to Comply with Sequence Listing Requirements mailed March 08, 2002, with hard copy of Sequence Listing and Diskette containing computer-readable form;
- (d) Statements as Required Under 37 C.F.R. §§ 1.825(a) and (b) and Statement as Required Under 37 C.F.R. §§ 1.821(f) and (g);
- (e) Information Disclosure Statement, PTO Form 1449 and copies of References A1-A3, B1, B2 and C1-C24; and
- (f) A copy of Notice to File Missing Parts of Application-Filing Date Granted.

An Assignment to Board of Regents, The University of Texas System and a check for \$40.00 are being filed under separate cover.

Should any additional fees under 37 C.F.R. §§ 1.16 to 1.21 be required for any reason relating to the enclosed materials, the Assistant Commissioner is authorized to deduct said fees from Williams, Morgan & Amerson, P.C. Deposit Account No. 50-0786/4003.002300.

Please date stamp and return the accompanying postcard to evidence receipt of these documents.

Respectfully submitted,



Shelley P.M. Fussey  
Reg. No. 39,458  
Agent for Applicants

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Date: April 30, 2002